



I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail with sufficient postage in  
an envelope addressed to: Commissioner for Patents, P.O. Box 1450  
Alexandria, VA 22313-1450 on March 15, 2004  
Date of Deposit

Joseph F. Hetz - Reg. No. 41,070

Name of Applicant, Assignee or  
Registered Representative

[Signature]  
Signature

Patent  
Our Case No. 10519-30

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)		
Subramanian et al.	)		
	)	Examiner:	D. Vu
Serial No.: 09/939,498	)		
	)	Group Art Unit:	2818
Filed: August 24, 2001	)		
	)		
For: Vertically Stacked Field	)		
Programmable Nonvolatile	)		
Memory and Method of	)		
Fabrication	)		

**FIFTH SUPPLEMENTAL**  
**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

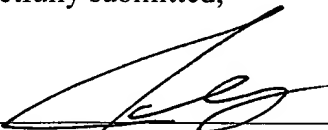
Dear Sir:

Pursuant to the obligation under 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§  
1.97-1.99, Applicants hereby submit document A1 listed on the attached form PTO-1449 for  
consideration by the Examiner. A copy of this reference is enclosed herewith. Applicants  
request that the Examiner review the entire disclosure of this document and make it of record.

The filing of this Information Disclosure Statement does not constitute an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Further, Applicants reserve the right to contest that this document is prior art against the present application.

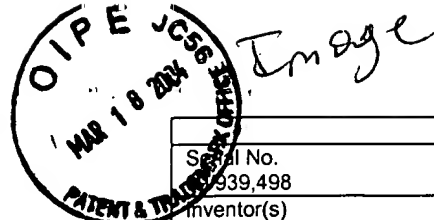
Dated: March 15, 2004

Respectfully submitted,



Joseph F. Hetz  
Reg. No. 41,070  
Attorney for Applicants

BRINKS HOFER  
GILSON & LIONE  
P.O. Box 10395  
Chicago, Illinois 60610  
(312) 321-4719



2818

TRANSMITTAL LETTER			Case No. 10519-30
Serial No. 939,498	Filing Date August 24, 2001	Examiner D. Vu	Group Art Unit 2818
Inventor(s) Subramanian et al.			
Title of Invention Vertically Stacked Field Programmable Nonvolatile Memory and Method of Fabrication			

## TO THE COMMISSIONER FOR PATENTS

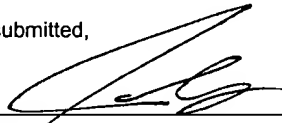
Transmitted herewith is Transmittal Letter (in duplicate); Fifth Supplemental Information Disclosure Statement; Form PTO 1449; 1 Reference; and Postcard Receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

					Small Entity		Other Than Small Entity		
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+ \$290=	
					Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
Joseph F. Hetz  
Registration No. 41,070  
Attorney for Applicant

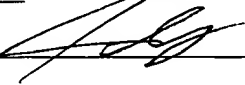
BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents  
P.O. Box 1450, Alexandria, VA 22313-1450, on March 15, 2004.

Date:

3/18/04

Signature:



rev. Dec.-00

\\Bhg\main3\common\jhetz\10519\10519-30 tl pw re 5th supp ids.doc



TRANSMITTAL LETTER			Case No. 10519-30
Serial No. 939,498	Filing Date August 24, 2001	Examiner D. Vu	Group Art Unit 2818
Inventor(s) Subramanian et al.			
Title of Invention Vertically Stacked Field Programmable Nonvolatile Memory and Method of Fabrication			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in duplicate); Fifth Supplemental Information Disclosure Statement; Form PTO 1449; 1 Reference; and Postcard Receipt.

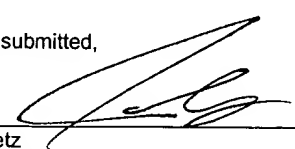
- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity		or	Other Than Small Entity	
Rate	Add'l Fee		Rate	Add'l Fee
x \$9=			x \$18=	
x 43=			x \$86=	
+\$145=			+ \$290=	
Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
Joseph F. Hetz  
Registration No. 41,070  
Attorney for Applicant

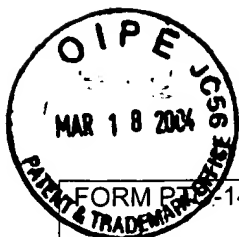
BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents  
P.O. Box 1450, Alexandria, VA 22313-1450, on March 15, 2004.

Date: 3/15/04 Signature: 

rev. Dec-00

\\Bhg\\main3\\common\\jhetz\\10519\\10519-30 11 pw re 5th supp ids.doc



FORM PTO-1449	SERIAL NO. 09/939,498	CASE NO. 10519-30
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE August 24, 2001	GROUP ART UNIT 2818
(use several sheets if necessary)	APPLICANT(S): Subramanian et al.	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,578,836	11/1996	Husher et al.		
	A2					
	A3					
	A4					
	A5					
	A6					
	A7					
	A8					
	A9					
	A10					
	A11					
	A12					
	A13					

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A14					
	A15					
	A16					
	A17					
	A18					

EXAMINER  
INITIAL

## OTHER ART – NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

	A19	
	A20	
	A21	
	A22	
	A23	
	A24	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.